## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

LORD ABBETT INVESTMENT TRUST-LORD ABBETT SHORT DURATION INCOME FUND, et al.,

Plaintiffs,

V.

PETRÓLEO BRASILEIRO S.A.-PETROBRAS, et al.,

Defendants.

Case No. 1:15-cy-07615

**Rule 7.1 Statement** 

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Plaintiffs Lord Abbett Investment Trust – Lord Abbett Short Duration Income Fund, Lord Abbett Investment Trust – Lord Abbett Inflation Focused Fund, and Lord Abbett Global Fund, Inc. – Lord Abbett Emerging Markets Currency Fund ("Plaintiffs") certifies as follows:

- 1. Plaintiffs are private, non-governmental entities that have no parent corporation.
- 2. To the best of Plaintiffs' knowledge, the below publicly held entities, or entities related to publicly held companies, own 10% or more of (i) the outstanding shares of one or more of the Funds and/or (ii) one or more particular classes of the outstanding shares of one or more of the Funds. A majority of the entities listed hold these shares in custodial accounts on behalf of their customers, not as direct beneficial owners of the shares.

<u>Lord Abbett Investment Trust – Lord Abbett Short Duration Income Fund</u> Edward D. Jones & Co. Charles Schwab & Co., Inc. MLPF&S, For The Sole Benefit Of Its Customers

Morgan Stanley Smith Barney

Pershing LLC

Wells Fargo Advisors LLC

UBS Financial Services Inc., FBO UBS WM USA

National Financial Services LLC, FEBO Customers Mutual Funds

Lord Abbett Multi-Asset Income Fund

DCGT Trustee & or Custodian, FBO PLIC Various Retirement Plans Omnibus

Sammons Financial Network LLC, FBO Various Accounts

## Lord Abbett Investment Trust – Lord Abbett Inflation Focused Fund

Charles Schwab & Co., Inc.

National Financial Services LLC, FEBO Customers Mutual Funds

Pershing LLC

UBS Financial Services Inc., FBO UBS WM USA

MLPF&S, For The Sole Benefit Of Its Customers

Morgan Stanley Smith Barney

Wells Fargo Advisors LLC

DCGT Trustee & or Custodian, FBO PLIC Various Retirement Plans Omnibus

PAI Trust Company, Inc., Purdum

## Lord Abbett Global Fund, Inc. - Lord Abbett Emerging Markets Currency Fund

Lord, Abbett & Co. LLC

National Financial Services LLC, FEBO Customers

Edward D. Jones & Co.

MLPF&S, For The Sole Benefit Of Its Customers

Pershing LLC

Wells Fargo

Morgan Stanley Smith Barney

UBS Financial Services Inc.

Lord Abbett Multi-Asset Balanced Opportunity

Lord Abbett Multi-Asset Income Fund

Lord Abbett Multi-Asset Global Opportunity Fund

Reliance Trust Co.

Mid Atlantic Trust Co., FBO Apex Laboratory Inc. 401K PSP & Trust

Health Care Technology Trust, FBO Health Care Technology 401K PSP

Dated: October 9, 2015

/s/ Geoffrey C. Jarvis

Jay W. Eisenhofer

Geoffrey C. Jarvis

Deborah A. Elman

David M. Haendler (pro hac vice pending)

Robert Gerson

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